Finding More Null Pointer Bugs, But Not Too Many

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Abstract
In the summer of 2006, the FindBugs project was challenged to improve the null pointer analysis in FindBugs so that we could find more null pointer bugs. In particular, we were challenged to try to do as well as a publicly available analysis by Reasoning, Inc on version 4.1.24 of Apache Tomcat. Reasoning’s report is a result of running their own static analysis tool and using manual auditing to remove false positives. Reasoning reported a total of 9 null pointer warnings in Tomcat 4.1.24, of which only 2 were reported by FindBugs 1.0. While we wanted to improve the analysis in FindBugs, we wanted to retain our current low level of false positives.

As of the work presented in this paper, FindBugs now reports 4 of the 9 warnings in Tomcat, shows that one of the warnings reported by Reasoning is a false positive, and classifies the remaining 4 as being dependent on the feasibility of a particular path, which cannot be easier ascertained by a local examination of the source code. Moreover, we found 24 additional null pointer bugs in Tomcat that had been missed by Reasoning, and overall doubled the number of null pointer bugs found by FindBugs while improving the quality and significance of reported defects.

Categories and Subject Descriptors F.3.2 [Semantics of Programming Languages]: Program analysis; D.2.4 [Software/Program Verification]: Reliability

General Terms Algorithms, Reliability, Security

Keywords FindBugs, null pointers, static analysis, bugs, bug patterns, Java, software quality

1. Introduction
In the summer of 2006, David Morgenthaler challenged the FindBugs project to improve the null pointer analysis in FindBugs. Dr. Morgenthaler had been previously employed at Reasoning, a company that provided static analysis for software defect detection as a service. Dr. Morgenthaler was now employed by Google, where among other duties he was evaluating static defect detection tools for internal use. Reasoning had previously made public a sample [8] of the output of their services on version 4.1.24 on Apache Tomcat [1]. Reasoning had applied their own static analysis tool, and, after filtering out the warnings they believed to be false positives, reported 9 null pointer bugs. FindBugs 1.0 reported only two of the warnings reported by Reasoning. For some time we had wanted to improve our analysis to find more defects, and Dr. Morgenthaler’s challenge and Reasoning’s report provided us additional motivation and test cases.

The static analysis tool used by Reasoning could have a much higher false positive rate than was acceptable for FindBugs, since they performed internal manual suppression of false positives before results were shown to customers. In particular, the issue of infeasible paths is a challenging problem for static analysis tools. Consider, for example:

\[
\begin{align*}
\text{PrintWriter log = null;} \\
\text{if (anyLogging) log = new PrintWriter(...);} \\
\text{if (detailedLogging) log.println("Log started");}
\end{align*}
\]

This code will throw a null pointer exception if anyLogging is false and detailedLogging is true. The names of these boolean variables suggest that this situation cannot arise at runtime, but verifying that through static analysis might be difficult. As we can see in this example, the infeasible path problem involves a path through the program that depends on at least two branches. Even if both branches can be decided in both directions (true and false), they both have to be decided in a correlated manner in order for the potential null-pointer bug to manifest. To address this problem, static analysis tools can compute path conditions—the conditions that must be true in order for the path to be executed. If the analysis can show that the path conditions are infeasible, then the potential defect is also shown to be infeasible. In the following modification of the above example, the path condition for the null pointer exception is \(\logLevel \leq 0 \land \logLevel > 3\), which can be shown to be infeasible by appropriate techniques.

\[
\begin{align*}
\text{PrintWriter log = null;} \\
\text{if (logLevel > 0) log = new PrintWriter(...);} \\
\text{if (detailedLogging) log.println("Log started");}
\end{align*}
\]

Unfortunately, many infeasible path conditions are not easy to disprove. Rather than worrying about disproving path conditions, FindBugs 1.0 used a null pointer analysis that reported a warning if there was a statement or branch in a program that, if executed/taken, would guarantee the occurrence of a null pointer exception (NPE). Since programmers strive to avoid writing unreachable code and redundant conditional tests, the existence of such a statement or branch is a good indicator of programming defect. If the statement or branch is unreachable, then that is generally taken as evidence of a programming fault that warrants correction, to improve the performance and understandability of the code.

In this paper, we describe several techniques we developed and implemented in more recent versions of FindBugs in order to find more null pointer bugs, while retaining the property that
null pointer warnings are only emitted if a branch or statement exists that if executed would guarantee a null pointer exception. Combined with strengthened analysis to track null values in fields, these improvements roughly double the number of null pointer defects found by FindBugs, without increasing the false positive rate. Our improved analysis is substantially better than analysis based on proving the feasibility of path conditions at producing easy-to-understand warnings.

2. Reasoning report on Tomcat

Table 1 gives the null pointer warnings reported [8] by Reasoning. Figures 1, 2, and 3 show part of the code for the defects numbers 01, 08, and 09, respectively, by Reasoning. The defect numbers are those assigned by Reasoning, which also include 3 array bound errors, 12 resource leaks and 2 bad string comparisons.

Figure 1 is a relatively simple bug that was found by the null pointer analysis in FindBugs 1.0 [6]: if the test null == elt evaluates to false, we are guaranteed to get a null pointer exception when we dereference obj by invoking the equals method on it.

Figure 2 is a bug we want to report, but the analysis in [6] doesn’t. If the test on line 520 fails, we are guaranteed to get a null pointer exception at line 551 (assuming no other exceptions prevent us from reaching line 551). However, there is no simple path1 from the else branch of line 520 to line 551. The conditions at lines 523, 530, and 532 mean that there are 8 different paths from line 520 to line 551, and each of these paths have path conditions that traditional analysis would try to show are feasible or infeasible.

Figure 3 is a warning we do not wish to report in FindBugs. This null pointer exception can occur only if it is simultaneously possible for req to not be a HttpServletRequest and for type = ’c’. This is exactly the kind of infeasible path problem that we were concerned about. Clearly, this defect should be prioritized below defects 01 and 08, and at this point, we don’t want FindBugs to report this potential defect.

3. Improving the null pointer analysis

While the most significant changes to our analysis were partially motivated by the challenge of improving our results on Tomcat, we made a number of other changes to our null pointer analysis since FindBugs 1.0. This section summarizes them, notes the impact on the number of warnings FindBugs reports on Eclipse and Sun’s 1.6 JVM implementation, and notes the connection to improving its results on Tomcat.

3.1 Warnings removed

FindBugs no longer reports a number of warnings reported by FindBugs 1.0. There are four basic reasons for this:

- **Suppression of warnings inside try/catch blocks** We found a number of cases where code could perform a null pointer dereference, but the code was inside a small try/catch block that was designed to anticipate and handle that situation.
- **Better handling of assertions**. In particular, FindBugs now analyzes code as if assertion checking is always enabled. This means that we will treat assert x != null; as an assertion that x is non-null, since the analysis understands the successor of that statement can be reached only if x is non-null.
- **Better handling of panic methods**. We’ve seen a fair number of false positives caused by code such as if (x == null) panic(); followed by a dereference of x. Unless FindBugs understands that the panic() method won’t return normally, it will report a null pointer defect here. We can’t simply match on the name panic, since different projects use different names for such methods. We also can’t depend on checking to see if the method returns normally, because some systems have methods that log a fatal error message and then return normally. We have done some custom modeling of specific panic methods we support by various frameworks, and allow users of FindBugs to improve their analysis results by specifying any additional panic methods used in their own codebases.
- **Better modeling of the JDK**. FindBugs incorporates a model of which method parameters and return values in the core API methods must be non-null. An improved modeling of which JDK methods always return non-null values eliminated some false positives. For example, in one package of the JDK, java.util.concurrent, we had modeled all the method parameters as taking non-null parameters unless explicitly specified otherwise. Additional methods were introduced into that package after FindBugs 1.0 were released, and thus FindBugs 1.0 wrongly marked some of these methods as requiring non-null parameters. (This is something of an artificial case, due to the explicit modeling of the JDK in FindBugs.)

3.2 Warnings reclassified

FindBugs 1.0 reported a null pointer correctness bug if an equals() method would throw a null pointer exception if given a null argument. (It should instead return a false value if its parameter is null.) In FindBugs 1.1, this was reclassified as a bad practice bug, rather than a correctness bug. While one could make the case that this is incorrect code, we found that developers didn’t want to waste through these warnings when performing correctness bug triage.
In general, each project or organization should adopt a rule as to whether this bad practice is acceptable. Similarly, we reclassified `clone()` and `toString()` methods returning a null value as bad practices rather than correctness bugs.

### 3.3 More accurate modeling of core API methods

Since FindBugs version 1.0, we have improved the modeling of which methods in the core Java API classes require non-null values. Some of the improvements come from explicit marking (using annotations) of methods requiring non-null parameters. Others depend upon an iterative application of our guaranteed dereference computation to determine method parameters that are always dereferenced (or passed to methods that, in turn, dereference them). Our guaranteed dereference computation improved in FindBugs 1.2.0, and we don’t separately break out which improvements came from explicit marking and which came from computation of guaranteed dereferences. In both cases, the new bugs that are reported due to these improvements involve passing a possibly-null value as an argument to a method that will unconditionally dereference it.

### 3.4 Reporting of errors on exception paths

FindBugs 1.0 contained an error in how it tracked potential null pointer dereferences on exception paths. Since the quality of these warnings wasn’t very good, they were reported at a low priority level warnings (which are ignored by default). One we fixed this problem, we were able to report such warnings as medium priority.

### 3.5 Field tracking

We added limited tracking of static and instance fields in order to detect more cases where a null value could be loaded from a field. We ignore aliasing, don’t track volatile fields, and assume that any method call could modify any field of any object passed to the method call. We also assume that any synchronization could cause all non-final fields to change.

### 3.6 Guaranteed dereferences

While all of the techniques we’ve described are useful, none of them address the defects in Tomcat that we wanted to catch. In addition to the forwards data flow analysis used in FindBugs 1.0 [6], we added a backwards dataflow analysis of which values are guaranteed to be dereferenced on all non-exception paths to exit. We had already done a version of this analysis to determine which method parameters are unconditionally dereferenced, but we made some substantial improvements to it and also now incorporate it into our intra-procedural null pointer analysis. In Defect 08 (Figure 2), the backwards propagation tells us that at else branch of the test on line 521, the current value of `hreq` is guaranteed to be dereferenced. When the backwards propagation of values guaranteed to be dereferenced encounters a statement or branch where that value is guaranteed to be null, we report an error. (Note that the analysis tracks `values` and not `variables`: see Section 4.)

We only consider non-exception paths due to the ever-present possibility of unchecked exceptions. Because a substantial number of Java bytecode instructions can throw unchecked exceptions, including all method invocations, there are generally very few places where a value is guaranteed to be dereferenced on all forward paths, including paths which include exception control flow. Since exception control flow usually indicates an error, we feel it is reasonable to exclude these paths from consideration.

### 4. Implementation notes

Our null-pointer analysis is based on a forward dataflow analysis that approximates static single assignment form (SSA) for values in local variables and on the operand stack. Specifically, the analysis tries to detect all cases where two values in local variables or the operand stack are the same, and assign such values the same “value number”. When two distinct values in the same local variable or operand stack location are merged as the result of a control join, the analysis creates a fresh value number distinct from any existing value number. Such join points are analogous to φ-nodes in SSA.

We compute the guaranteed dereferences as a backwards dataflow problem over our SSA approximation. At each program location where a value is dereferenced, the analysis considers adding that value number to the set of values guaranteed to be dereferenced. As an optimization, we omit any dereference that occurs at a location where the dereferenced value is definitely non-null; such dereferences can be safely ignored. If a value is dereferenced at a location where the value is guaranteed to be null, we report the error directly rather than propagating the dereference.

When the guaranteed dereference analysis encounters a φ-node going backwards, it must rewrite the dereferenced value sets on each control edge leading into the φ-node to translate from the
value number assigned by the $\phi$-node to the corresponding input value to the $\phi$-node. This is illustrated in Figure 4.

The category of program locations we denote as “dereferences” are more inclusive than actual dereferences (i.e., instructions with a built-in null check); they are any location where the use of a null value has a high likelihood of causing a null pointer exception. For example, we also count as dereferences passing a value to a parameter that must be non-null, returning a value from a method that must return a non-null value, and storing a value into a field that has been annotated as non-null.

We treat conditional branches on nullness specially. Specifically, in computing the guaranteed dereferences that propagate backwards from an if (x == null), for the value in x we check to see if x is guaranteed to be dereferenced just on the true branch and ignore the else branch. Other values are marked as having a guaranteed dereference only if they have a guaranteed dereference on both branches.

### 4.1 Reporting defects

As part of our backwards guaranteed-dereference dataflow analysis, we compute, for each distinct known-null value, the set of locations such that one location in the set is guaranteed to dereference the value on a non-exception path to the method exit. When a guaranteed dereference and a known null value collide, we report a warning that gives both the location where the value is known to be null and the set of locations, one of which is guaranteed to dereference it.

We prune the set of dereference locations as follows: if the set contains both locations x and y, and y postdominates x, then remove x from the set of locations that are reported. This is rather effective at capturing the “interesting” dereference locations, and in the vast majority of cases we report a single dereference location.

Although our analysis does not find as many bugs as purely path-based approaches, it has the considerable advantage that the bugs reported are easy to understand. A path-based analyzer might find many paths between a location where a value is known to be null and a dereference of that value. Reporting all paths might overwhelm the user, while picking one path arbitrarily might result in reporting an infeasible path. Our analysis reports warnings the user can verify with relative ease, since the null value mentioned by the warning is guaranteed to be dereferenced at one of the reported locations (unless an exception occurs).

### 4.2 Defect prioritization

FindBugs uses a number of heuristics in trying to prioritize defect warnings. As mentioned, we only report a null pointer warning if there is a statement or branch that, if executed/taken, guarantees a null pointer exception, assuming our program model is correct. If the execution of a statement (as opposed to a taken branch) guarantees a null pointer exception, we raise the priority of the warning. In our auditing of defect warnings we have found a fair number of cases where developers write conditional tests that they never expect to be fully covered. However, we have found it much rarer for developers to intentionally write statements that they never expect to be executed.

### 5. Results

We were quite happy with the results of our new analysis. Table 1 notes the changes in our results on the warnings reported by Reasoning. In addition to reporting two more of the defects found by Reasoning, we report 24 additional null pointer defects missed by Reasoning. Figure 5 shows an interesting example of a defect missed by the new analysis in Tomcat but not reported by Reasoning. FindBugs reports that if container is null on line 549, then container will be dereferenced at either line 552 or line 555. In fact, only the dereference at line 555 is feasible if container is null. When asked about this example, Dr. Morgenthaler said that Reasoning’s static analysis tool had reported a potential null pointer exception on the path from line 549 to line 552, but had not reported a potential null pointer exception on a path from line 549 to line 555. Since the NPE at line 552 was infeasible, they filtered it out.

Table 2 shows the overall changes in our results on Sun’s JDK 1.6.0-b105 and Eclipse 3.2.1. This table details the changes in the high/medium priority null pointer correctness warnings about paths where a value is known to be null and guaranteed to later be used in a way that required it to be non-null. Because Java is a memory-safe language, not all of these defects are important, and trying to identify the important null pointer defects is ongoing work. Figure 6 shows an interesting situation where the most severe impact of the defect is felt when the null pointer exception does not occur. If a listener is removed before any have been added, a null pointer exception will occur. But that situation seems unlikely and if it does occur, hopefully the null pointer exception will get logged and reported, leading to the software being fixed. However, in the typical case, where a listener is removed after having been added, the impact of the defect is to make removal of listeners fail silently.

#### 5.1 Other analysis tools

This section tries to provide some understanding of where the current FindBugs analysis fits in the world of static analysis for null pointers. It is important to understand that there is no one way to design a null pointer analysis. FindBugs has been tuned to have a very low false positive rate, so that it can be reasonably applied to multi-million line programs. Other tools have made different tradeoffs for false negatives and false positives.
Table 2. Changes in null pointer warnings between FindBugs versions 1.0 and 1.2.0-dev

<table>
<thead>
<tr>
<th>Eclipse</th>
<th>JDK</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>101</td>
<td>70</td>
<td>Number of NP correctness warnings reported by FindBugs 1.0</td>
</tr>
<tr>
<td>-16</td>
<td>-20</td>
<td>Warnings removed due to bug fixes and better modeling of asserts, panic methods, JDK libraries</td>
</tr>
<tr>
<td>-23</td>
<td>-13</td>
<td>Warnings about equals method not handling null reclassified as bad practice</td>
</tr>
<tr>
<td>+8</td>
<td>+38</td>
<td>New warnings due to better modeling of JDK libraries</td>
</tr>
<tr>
<td>+3</td>
<td>+16</td>
<td>New warnings due to fix in tracking of null dereferences on exception paths</td>
</tr>
<tr>
<td>+37</td>
<td>+12</td>
<td>New warnings that required field tracking</td>
</tr>
<tr>
<td>+50</td>
<td>+17</td>
<td>New warnings that required guaranteed dereferences</td>
</tr>
<tr>
<td>+13</td>
<td>+1</td>
<td>New warnings that required both field tracking and guaranteed dereferences</td>
</tr>
<tr>
<td>173</td>
<td>121</td>
<td>Number of NP correctness warnings reported by FindBugs 1.2.0-dev</td>
</tr>
</tbody>
</table>

Table 4. Microbenchmark, v2, results from different tools
6. Related work

There have been quite a number of papers on static analysis for defect detection, and many of these [5, 4, 2] touch substantially, but not exclusively, on finding null pointer defects. Our use of a backwards analysis to propagate dereferenced values bears some resemblance to the unlockset analysis used in RacerX [3].

We previously published work [6] on the null pointer bug detection used in FindBugs 1.0.

7. Conclusions

Since our work is done in the context of a memory safe language, the impact of null pointer errors is typically much less significant than in languages such as C/C++. Thus, we believe we have reached a happy medium with our null pointer analysis. We are pleased with the quality of the defects we are reporting, and given the number of null pointer warnings we find in existing code, we don’t want to expand the analysis to report warnings only feasible on some paths.

The most interesting question to us is not how to lower our false positive or false negative rate, but how to identify high-impact null pointer bugs. Once we can do that, trying to apply those techniques over a larger field of null pointer bugs, including ones FindBugs does not now report, would be of interest.

8. Acknowledgments

Thanks to David Morgenthaler for challenging us, and to all those who have contributed to the FindBugs project. Brian Goetz and the anonymous reviewers provided valuable suggestions to help us improve the clarity of the paper. Fortify Software is the sponsor of the FindBugs project, and additional support is provided by Google and Sun Microsystems.

References